Medicaid Provider Training

Information for dual Medicare-Medicaid Plan (MMP) healthcare providers and administrators

Effective January 2020
No notable 2019 changes
# Training topics

<table>
<thead>
<tr>
<th>Topic</th>
<th>General guidance</th>
<th>Pages</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. CMS-approved model of care</td>
<td>Overview of provider role design and focus</td>
<td>6–8</td>
</tr>
<tr>
<td>2. Provider role and responsibilities</td>
<td>Detailed provider information</td>
<td>9–13</td>
</tr>
<tr>
<td>3. Creation of individual care plans (ICPs)</td>
<td>ICP basics</td>
<td>14–15</td>
</tr>
<tr>
<td>4. Provider participation in integrated care teams (ICTs)</td>
<td>Participation requirements for integrated provider care teams and explanation of model supports</td>
<td>16–19</td>
</tr>
<tr>
<td>5. Coordination of Medicare and Medicaid benefits</td>
<td>Overview of coordination of care importance to proper planning of person-centered care</td>
<td>20–22</td>
</tr>
<tr>
<td>6. Barriers to member care</td>
<td>Overview of challenges to ensure members understand their care and its impact on overall health</td>
<td>23–25</td>
</tr>
<tr>
<td>7. Working with members with mental health diagnoses</td>
<td>Overview of steps needed to assure diagnosed members receive sufficient care and support</td>
<td>26–27</td>
</tr>
<tr>
<td>8. Chronic and complex conditions</td>
<td>Tips for addressing chronic conditions prevalent within Medicaid members</td>
<td>28–38</td>
</tr>
<tr>
<td>9. Patient-centered medical home</td>
<td>Definition of this model of care and related requirements</td>
<td>39–43</td>
</tr>
<tr>
<td>10. Person-centered planning and self-determination</td>
<td>Discussion of the importance of recognizing the special needs of members and or their caregivers</td>
<td>44–48</td>
</tr>
</tbody>
</table>
### Training topics (cont’d)

<table>
<thead>
<tr>
<th>Topic</th>
<th>General guidance</th>
<th>Pages</th>
</tr>
</thead>
<tbody>
<tr>
<td>9. Ombudsman program</td>
<td>Program definition and purpose</td>
<td>49 – 50</td>
</tr>
<tr>
<td>10. Quality enhancements</td>
<td>Overview and listing of health-related, community-based provider services that can help coordinate members’ access</td>
<td>51 – 54</td>
</tr>
<tr>
<td>11. Americans with Disabilities Act (ADA)</td>
<td>Overview of key provider-related provisions</td>
<td>55 – 56</td>
</tr>
<tr>
<td>requirements</td>
<td>-----------------------------------------------------------------------------------------------------</td>
<td>---------</td>
</tr>
<tr>
<td>12. Affordable Care Act – Non-discrimination</td>
<td>Details regarding ACA, Section 1557 additions regarding discrimination.</td>
<td>57 – 58</td>
</tr>
<tr>
<td>requirements</td>
<td>-----------------------------------------------------------------------------------------------------</td>
<td>---------</td>
</tr>
<tr>
<td>13. Olmstead ruling/independent living</td>
<td>Summary of a Supreme Court case that resulted in public, community-based entity requirements for persons with disabilities</td>
<td>59 – 61</td>
</tr>
<tr>
<td>14. Access to recovery</td>
<td>Overview of types of available recovery support services</td>
<td>62 – 64</td>
</tr>
<tr>
<td>15. Community outreach provider compliance</td>
<td>Provider-specific requirements</td>
<td>65 – 67</td>
</tr>
<tr>
<td>16. Fraud, waste and abuse</td>
<td>Discussion of government efforts, the reach of and need to combat added FWA info and a listing of options for reporting suspected FWA</td>
<td>68 – 70</td>
</tr>
</tbody>
</table>
## Training topics (cont’d)

<table>
<thead>
<tr>
<th>Topic</th>
<th>General guidance</th>
<th>Pages</th>
</tr>
</thead>
<tbody>
<tr>
<td>Appendix</td>
<td></td>
<td>71</td>
</tr>
<tr>
<td>• Illinois state specifics</td>
<td>Key state contacts</td>
<td>72</td>
</tr>
<tr>
<td>Illinois references</td>
<td></td>
<td>73 – 74</td>
</tr>
</tbody>
</table>
CMS-approved model of care
CMS-approved model of care

- Humana’s model of care is designed to help improve access to affordable and preventative medical, mental health and social services.
- The model promotes:
  - Coordination of care through an identified point of contact
  - Transitioning each member’s care between healthcare settings and healthcare providers
- The model allows providers to focus on health outcomes and member satisfaction with health status and health services.
- Humana’s model of care provides appropriate service utilization and facilitation of cost-effective health services delivery.
Model of care (cont’d)

• Key provider participation includes the following activities:
  - Care conferences (via phone, written or in-person communication) for Interdisciplinary care team (ICT) members
  - Inbound and outbound communications that foster care coordination
  - Promotion of Healthcare Effectiveness Data and Information Set (HEDIS®) and National Committee for Quality Assurance (NCQA) quality measures
  - Forwarding all requested medical record documentation and information to support fulfillment of Humana’s state and federal regulatory and accreditation obligations, e.g., HEDIS, NCQA
Provider role and responsibilities
Provider role and responsibilities

• Ensure that members are informed of healthcare needs requiring specific follow-up and receive self-care training that includes discussion of medication adherence and other ways to self-promote their health.
• Ensure the member receives necessary, appropriate specialty, ancillary, emergency and hospital care. Provide necessary referrals, information and communications to specialists, hospitalists, skilled nursing facilities (SNF) and other providers that assists them with consultation.
• Recommend treatments, equipment and/or services for the member.
Provider role and responsibilities (cont’d)

• Work with our Case Management Entities (CME) to ensure access to care - through home visits by nurse practitioners or physicians and/or home and community-based services - for members who are homebound or have significant functional mobility limitations.
• Track and document member appointments, clinical findings, treatment plans from referred specialists, other healthcare providers or agencies to ensure continuity of care.
• Obtain authorizations and notify Humana for any out-of-network services when a network specialist is unavailable in the geographical area.
Provider role and responsibilities (cont’d)

• Work with Humana’s care coordination team to arrange a member-requested second opinion appointment, either with a qualified network provider, or a qualified out-of-network provider if a qualified in-network provider is unavailable.

• Initiate or assist member discharges or transfers from inpatient facilities to the member’s permanent home or the most medically-appropriate, level-of-care facility. Consider the availability of in-network facilities and obtain appropriate authorizations if using out-of-network facilities.
Provider’s role and responsibility (cont’d)

- Support, participate in and communicate with the ICT, in person and/or in writing, in developing and implementing an individualized plan of care that facilitates effective care coordination.
- Provide timely access to medical records or information for quality management and other purposes, including audits, complaint reviews or appeals, HEDIS and other studies.
- Promptly respond to improvement recommendations for development and enactment of a corrective/improvement plan, as appropriate.
- Follow the preventive care guidelines set by the U.S. Preventive Services Task Force and provide and document the preventive care services required by the NCQA for HEDIS.
Creation of individual care plans (ICPs)
Provider creation of an individualized care plan

- The individualized care plan is based on:
  - Initial and ongoing health risk assessment and comprehensive assessment results
  - Claims history
- ICT-developed member plans:
  - Include member-driven short- and long-term goals, objectives and interventions
  - Address specific services and benefits
  - Provide measurable outcomes
Provider participation in integrated care teams (ICTs)
Provider participation in integrated care teams

- The ICT is a collaborative team of caregivers from different professional disciplines that delivers quality-of-life and supportive care to an individual and/or family.
- The ICT may include:
  - The member and/or his/her authorized caregiver
  - The member’s physicians and/or nurses
  - Humana’s clinical care managers and coordinators
  - Social workers and community social-service providers
  - Humana’s and/or the member’s behavioral health professionals
  - Humana’s community health educators and resource-directory specialists
Provider participation in ICTs (cont’d)

- The physician-inclusive ICT model supports the following:
  - Treatment and medication plans
  - Physician goals via the Humana At Home Program team of nurses, social workers, pharmacy specialists and behavioral-health specialists
  - Member education and enhancement of direct patient-physician communication
  - Self-care management and informed healthcare decision-making
  - Care coordination and care transitions
  - Access and connections to additional community resources and Medicaid services, including long-term services and supports, if member is eligible
  - Appropriate end-of-life planning
Provider participation in ICTs (cont’d)

- Provider communication and reporting expectations include the following:
  - Maintain frequent phone or in-person ICT communication that includes the care coordinator, other providers of care and services, hospitals and/or ancillary providers to ensure effective continuity of care and care coordination.
  - Via phone, immediately report actual or suspected child or elderly abuse, domestic violence or exploitation to local law enforcement and submit a follow-up written report to appropriate law enforcement agencies within the required timeframe. (See Health, Safety and Welfare Education Training for specific reporting information.)
  - Provide all requested medical record documentation and information to support Humana’s fulfillment of state and federal regulatory and accreditation obligations, e.g., HEDIS, NCQA.
Coordination of Medicare and Medicaid benefits
Coordination of Medicare-Medicaid benefits (including long-term services and (LTSS) Medicaid benefits)

• Member-centered, coordinated care is provided by a knowledgeable team that provides an array of medical, nonmedical, behavioral services and available benefits to meet the member needs that are critical to achieving optimum wellness. The care also focuses on helping the member live at-home as long as appropriately possible.

• Some members who have been assessed by the state require a broad range of LTSS and community support to meet their functional needs. Effective administration of LTSS benefits and easy access to these services help ensure that member needs are adequately met and reduce reliance on less appropriate and more costly emergency or hospital-based or nursing facility care.
Members face a variety of daily life challenges. Humana aims to eliminate the challenge and frustration of navigating a complex healthcare system by integrating administrative processes for members and providers.

- Humana coordinates Medicaid-Medicare to help ensure that the appropriate services are provided at the right time in the right place.
  - For example, both Medicare and Medicaid cover certain durable medical equipment, but have different requirements and limitations. Humana will work with providers to ensure that the right benefit is accessed for the member.
Barriers to member care
Identifying care barriers

• Different programs with diverse coverage and payment structures often deliver ineffective member care due to poor coordination of benefits and services.
• A shortage of health professionals in rural areas and inner cities can make it difficult for MMP members to access quality and cost-effective care and preventive services.
• Organizational barriers, including lack of interpreter services, wheelchair accessibility and long appointment wait times, increases member frustration and potential refusal to seek and participate in his/her own care.
Identifying barriers to care (cont’d)

- There is a lack of coordination between behavioral health and other medical and nonmedical services.
- Cultural and religious beliefs impact member health beliefs and behaviors, including provider relationships and compliance with recommended treatments.
- Socioeconomic status may present issues related to poor education, lack of knowledge regarding available health options, support, healthy behaviors and inability to pay out-of-pocket.
- Member homelessness impacts the ability of healthcare providers to engage and provide member education and support.
Working with members with mental health diagnoses
Working with members with mental health diagnoses

- Facilitate member referral to specialists, specialty care, behavioral healthcare, health education classes and community resource agencies, when appropriate.
- Integrate medical screening into basic primary care services; provide screening and evaluation procedures for detection, referral and treatment for known or suspected behavioral health problems.
- Deliver evidence-based behavioral health treatment and establish protocols for referral to behavioral health specialty providers.
- Ensure confidentiality of members’ medical and behavioral health and personal information as required by state and federal laws.
Chronic and complex conditions
Types of chronic conditions prevalent in target population

- Multiple chronic conditions increase the risks for poor outcomes such as mortality, functional limitations and high-cost services such as hospitalizations, emergency room visits and nursing facility care.
- Evidence proves that frequent and consistent preventive care of chronic conditions lowers the onset of major conditions and decreases the use of emergency room visits and readmissions.
Types of chronic conditions prevalent in target population (cont’d)

- Humana’s clinical practice guidelines* incorporate relevant, evidence-based medical and behavioral health recommendations (preventive and certain non-preventive acute and chronic conditions) from recognized sources, such as professional medical associations, voluntary health organizations and NIH centers.
- Humana provides chronic disease management services and support to promote self-management by individuals with chronic conditions.

* Guideline specifics available to both affiliated and non-affiliated providers on Humana’s website
Comprehensive diabetes care

Comprehensive diabetes care includes the following:

- Diabetic retinal examinations – Humana is committed to early intervention and continuous monitoring of diabetic eye disease in an effort to reduce diabetes-induced blindness in members.

- Based on guidelines proposed by the American College of Physicians, the American Diabetic Association and the American Academy of Ophthalmology, the Humana primary care provider (PCP) will provide or manage services recipients with a history of diabetes receive at least one fundoscopic exam every 12 months.
Attention to glycohemoglobin levels – Humana acknowledges that responsible control of blood glucose levels can delay the onset of many diabetic side effects. Glycohemoglobin is a laboratory indicator of how well a member’s blood sugar is controlled. Consistent with American Diabetic Association recommendations, Humana primary care providers will provide or manage services that allow members with a history of diabetes will receive glycohemoglobin screenings at least twice yearly.
Comprehensive diabetes care (cont’d)

**Monitoring lipid levels** – Humana recognizes the direct link between hyperlipidemia, secondary hyperlipoproteinemias and diabetes mellitus. By closely monitoring lipids and lipoprotein levels in diabetics, better control of diabetes is possible. Consistent with the recommendations of the American Diabetes Association, Humana PCPs will provide or manage services for members with a history of diabetes that include annual lipid and lipoprotein determination. If any anomaly is found in the annual baseline, additional studies should be conducted as medically necessary.
Humana primary care providers will screen for nephropathy to delay or prevent loss of renal function through early detection and initiation of effective therapies and to manage complications in those identified with a renal disease. The PCP will manage the member by identifying evidence of a positive test for protein in the urine (microalbuminuria testing). The member will be monitored for several disorders, including end-stage renal disease, chronic renal failure, renal insufficiency or acute renal failure, and referred to a nephrologist when appropriate.
There are effective options for treating congestive heart failure (CHF) and its symptoms. Humana recognizes that early detection can reduce disease symptoms, and many heart failure patients can resume normal active lives. To further these goals Humana PCPs will provide or manage care of the CHF member by prescribing and monitoring use of an ACE inhibitor, diuretic and angiotensin II receptor blockers (ARB) and by reviewing the contraindications of prescribed medications.

An echocardiogram should be performed annually, the member should be instructed on nutrition and education should be ongoing throughout his or her disease.
Asthma

Humana PCPs are expected to measure member lung function, assess disease severity and monitor the course of therapy that:

- Instructs the member about the need to avoid or eliminate contributing environmental control measures and factors that precipitate asthma symptoms or exacerbations.
- Introduces comprehensive pharmacologic therapy for long-term management designed to reverse and prevent airway inflammation characteristic of asthma, as well as pharmacologic therapy to manage asthma exacerbations.
- Facilitates education that fosters a partnership among the member, his or her family and clinicians.
Hypertension

Humana believes that PCPs can assist members by checking blood pressure at every opportunity and by counseling members and their families about ways to prevent hypertension. Members benefit from general advice on healthy lifestyle habits, in particular healthy body weight, moderate alcohol consumption and regular exercise. The Humana PCP will document in each member’s medical record the confirmation of hypertension and identify a member’s hypertension risk.
Humana requires that PCPs assist members in obtaining necessary care in coordination with Humana Health Services staff. Providers should contact health services at 1-800-622-9529 or their provider contract representative for more details.
Patient-centered medical home
What is a patient-centered medical home?

• A **patient-centered medical home** is a model of care that strengthens the physician-patient relationship by replacing episodic care with coordinated care and a long-term healing relationship.

• Through the model, a PCP-led medical team takes responsibility for patient care, meeting member healthcare needs and arranging for appropriate care with other qualified clinicians. The medical home is intended to result in more personalized, coordinated, effective and efficient care.

What is a patient-centered medical home? (cont’d)

- Implementing the medical home model of care involves achieving a high-level accessibility, providing excellent communication among members, providers and staff and taking full advantage of the latest information technology to prescribe, communicate, track test results, obtain clinical support information and monitor performance.

Patient-centered medical home (cont’d)

- Participating patient-centered medical homes are required to manage and provide evidence-based services to members that integrate care with specialty and subspecialty practices. The medical home is required to adhere to the following:
  - **Access enhancement and continuity** – Accommodate member’s needs with access and advice during and after hours, give patients and their families information about their medical home and provide patients with team-based care.
  - **Member population identification and management** – Collect and use data for population management.
- **Care planning and management** – Use evidence-based guidelines for preventive, acute and chronic care medicine and mental health management

- **Provisioning of self-care support and community resources** – Supply the information, tools and resources members and their families need to manage member self-care

- **Care tracking and coordination** – Follow and analyze trends in tests and referrals and coordinate transitions of care.

- **Performance measurement and improvement** – Use performance and patient experience data for continuous quality improvement.
Person-centered planning and self-determination
Member special needs consideration

- Providers must make efforts to understand the special needs required by members. The member may have challenges that include physical compromises as well as cognitive, behavioral, social and financial issues. Multiple comorbidities, complex conditions, frailty, disability, end-of-life issues, end-stage renal disease, isolation, depression and polypharmacy are some of the challenges facing these members each day.
- Recognizing the significant needs of members, Humana incorporates all of the principles of multidisciplinary integration, as well as person-centered care planning, coordination and treatment in our care coordination program.
Member special needs consideration (cont’d)

- Care management is delivered within an integrated care team (ICT) structure and holistically addresses the needs of each member.
- To ensure the existence of person-centered and supported self-care, the member or authorized caregiver comprise the model of care core.
- Each Humana member is assigned a care coordinator who leads the member’s ICT and works closely to the member’s PCP to ensure that the member gets necessary care across the full spectrum of medical, behavioral health and long-term care services.
Member special needs consideration (cont’d)

- Humana’s predictive model is based on claims history and analytics and used to determine the risk and intervention levels necessary to channel the member to the appropriate level of coordination.
- The mDAT, a scored and weighted assessment tool, produces a clinically-sound snapshot at time or profile of the member’s health status. The mDAT provides an overall risk score which, combined with the predictive model score, is used to direct interventions targeted to impactable concerns.
Member participation

- Members are encouraged to participate in all aspects of care management and coordination, including the development of an individualized care plan. The care coordinator and ICT ensure that the member receives any necessary assistance and accommodation, including those mandated by the ADA, to fully participate in the care planning and management process. The team also ensures that the member receives clear information about:
  - His or her health conditions and functional limitations
  - How the member can include family members and social supports in the care planning process
  - Self-directed care options and available self-manage care assistance
  - Educational and vocational opportunities
  - Available treatment options, supports and/or alternative courses of care
What is the ombudsman program?

• **The ombudsman program** is a long-term-care advocate effort for members in nursing homes, care homes and assisted-living facilities (supportive living facilities). The program also serves member friends and families, as well as the long-term care facility staff and administration.

• The program is intended to:
  - provide information about the rights of members and their families
  - provide information about residents’ needs to appropriate parties
  - address complaints
  - advocate for individualized care improvements in the long-term-care system

Note: State-specific ombudsman program contacts are located in the appendix.
Quality enhancements
Quality enhancements

- **Quality enhancements (QE)** are defined as health-related, community-based member services to which Humana and Humana-contracted providers (affiliated and subcontractors) must offer access. Services include children’s programming, domestic violence classes, pregnancy prevention, smoking cessation, substance abuse programs and abuse recognition and reporting. Costs of these programs will not be reimbursed. In addition to the covered services specified in this section, Humana and Humana-contracted providers should offer QE in member-accessible community settings.
- The provider shall include documentation of community program referrals to the member’s medical record of and follow-up to ensure receipt of services.
Quality enhancements (cont’d)

- **Domestic violence screening** – Providers must screen members for signs of domestic violence and offer referral to appropriate community prevention agencies and services.

- **Pregnancy prevention** – Humana and Humana-contracted providers are required to regularly conduct pregnancy prevention programs or make a good faith effort to involve members in existing community prevention programs, such as an abstinence education program. Member programs should target teen but be open to everyone, regardless of age, gender, pregnancy status or parental consent.

- **Prenatal/postpartum pregnancy programs** – Humana provides non-compliant pregnant and post-partum members with educational materials, counseling and regular home visits from home health nurses or aides and counseling and educational materials.
Quality enhancements (cont’d)

- **Smoking cessation** – Humana and Humana-contracted providers are required to regularly conduct smoking cessation programs for all members or make a good-faith effort to involve members in existing community cessation programs. Counseling must be available to all members. Providers should consult the Quick Reference Guide for assistance in identifying tobacco users and supporting and delivering effective cessation interventions. Request copies of the guide from:

  DHHS, Agency for Health Care Research & Quality (AHR) Publications Clearinghouse
  Phone: 1-800-358-9295
  Mail: P.O. Box 8547, Silver Spring, MD 20907

- **Substance abuse** – Humana offers substance abuse screening training to providers. Humana and Humana-contracted providers are required to offer targeted members either community- or plan-sponsored substance abuse programs.
Americans with Disabilities Act (ADA) requirements
ADA requirements

- Providers are required to comply with all (ADA) requirements, including:
  - Utilization of waiting room and exam room furniture that meets the needs of all members, including those with physical and non-physical disabilities
  - Provision for interpretation services members with limited English proficiency, and auxiliary aids for hearing and visually-impaired
  - Use of clear signage throughout provider offices
  - Provisions for adequate parking and access to provider offices
Affordable Care Act: Non-discrimination requirements
Providers that operate a health program or activity and receive federal financial assistance from the Department of Health and Human Services (HHS) for any part of that program or activity are required to comply with Section 1557 of the Affordable Care Act. Requirements include:
• Posting of a nondiscrimination statement in provider offices
• Posting of a notice about nondiscrimination and accessibility requirements
• Helpful links:
  - Training Materials for Section 1557: [https://www.hhs.gov/civil-rights/for-providers/training/index.html](https://www.hhs.gov/civil-rights/for-providers/training/index.html)
Olmstead ruling and independent living
On June 22, 1999, the U.S. Supreme Court held in Olmstead v. L.C. that "unjustified segregation of persons with disabilities constitutes discrimination in violation of Title II of the Americans with Disabilities Act. The court held that public entities must provide community-based services to persons with disabilities when (1) such services are appropriate; (2) the affected persons do not oppose community-based treatment; and (3) community-based services can be reasonably accommodated, taking into account the resources available to the public entity and the needs of others who are receiving disability services from the entity."

Source: www.ada.gov/olmstead/olmstead_about.htm
The Supreme Court explained that its holding "reflects two evident judgments." First, "institutional placement of persons who can handle and benefit from community settings perpetuates unwarranted assumptions that persons so isolated are incapable of or unworthy of participating in community life." Second," confinement in an institution severely diminishes the everyday life activities of individuals, including family relations, social contacts, work options, economic independence, educational advancement and cultural enrichment."
Access to recovery
Access to recovery

• Recovery access provides clients with options for clinical treatment and recovery support services.
• Recovery support services assist people who want to live drug- and alcohol-free or are recovering from mental illness.
• Services are typically performed in face-to-face individual or group settings.
• Some services require reimbursement and others are free of charge in the community.
• Access to these recovery services can be obtained from community-based, faith-based and secular organizations, or from facilities licensed by the state Division of Alcoholism and Substance Abuse.

Note: If interested in becoming a program provider, please see consult the appendix for state-specific information.
Access to recovery (cont’d)

Available services include:

- **Continuing care services** – Post-discharge services provided by inpatient treatment programs and performed by experienced counselors who assist members in meeting goals of continued care plan.

- **Employment coaching** – Skills-based member training related to employment that may include resume writing, mock interviewing and job search coaching.

- **Pastoral counseling** – Services that provide supportive witness to members during times of transition, emotional stress and life changes.

- **Peer and recovery coaching** – Services that include topics such as relapse prevention, coping skills, anger management, domestic violence, decision-making, lifestyle choices, pursuing interests and participating in drug-free recreation.

- Other coaching opportunities may be available.
Community outreach provider compliance
Requirements

Providers must comply with the following requirements:

- Healthcare providers may display health-plan-specific materials in their own offices.
- Healthcare providers cannot orally or in writing compare benefits or provider networks among health plans, other than to confirm whether they participate in a health plan’s network.
- Healthcare providers may announce a new affiliation with a health plan, and give patients a list of health plans with which they contract.
- Healthcare providers may co-sponsor events such as health fairs and advertise with the health plan in indirect ways, such as television, radio, posters, flyers and print advertisement.
Requirements (cont’d)

- Healthcare providers **shall not** furnish patient lists to the health plan with which they contract, or to any other entity; nor can providers furnish other health plans’ membership lists to the health plan; nor can providers assist with health plan enrollment.
- Providers **may** distribute health plan information about non-health plan-specific healthcare services and the provision of health, welfare and social services by the state or local communities, if inquiries from prospective members are referred to the member services section of the health plan or the agency’s choice counselor/enrollment broker.
Fraud, waste and abuse
Fraud, waste and abuse (FWA) in Medicaid

• Both the federal government and the individual states that are establishing and monitoring requirements for Medicaid are trying to reduce (FWA) in the Medicaid program.
• Healthcare FWA can involve physicians, pharmacists, beneficiaries and medical equipment companies. Success in combating healthcare fraud, waste and abuse is measured not only by convictions, but also by effective deterrent efforts.

Additional information about FWA is available in a CMS-published training document, *Medicare Parts C and D Fraud, Waste, and Abuse Training and Medicare Parts C and D General Compliance Training*. Its concepts also apply to Medicaid. Access directions are located at Humana.com/fraud.
Anyone who suspects or detects an FWA violation is required to report it either to Humana or within his/her respective organization, who then must report it to Humana:

- **Telephone contacts**
  - SIU direct line: 1-800-558-4444 (Monday through Friday: 8 a.m. to 4 p.m. Eastern time)
  - SIU hotline: 1-800-614-4126 (24/7 access)
  - Ethics Help Line: 1-877-5-THE-KEY (1-877-584-3539)*
- **Email**: siureferrals@humana.com or ethics@humana.com
- **Web**: www.ethicshelpline.com
- **Fax**: 1-920-339-3613*

**Key features of reporting directly to Humana**
- **Anonymity**: If the person making the report chooses to remain anonymous, he/she is encouraged to provide enough information about the suspected violation (i.e., date[s] and person[s], system[s] and type[s] of information involved) to allow Humana to review the situation and respond appropriately.
- **Confidentiality**: Processes are in place to maintain confidentiality of reports; Humana allows confidential report follow-up.

Humana strictly prohibits intimidation and/or retaliation against anyone who, in good faith, reports suspected or detected violation of ethical standards. Any entity supporting Humana that offers a reporting option to its employees and downstream entities must provide 24/7 access and the same key features outlined here.
Appendix
State-specific information
Illinois

• For more information on how your practice can become a patient-centered medical home, contact Humana Illinois Provider Contracting at 1-312-441-5020.

• Contacts for the Illinois Ombudsman Program are listed on the following website: https://www.illinois.gov/aging/ProtectionAdvocacy/LTCOmbudsman/Documents/LTCOP_Contact List.pdf

• For more information on how to become a provider for Illinois Access to Recovery, contact the ATR service coordinator at 1-312-814-3701 to request an application.
Illinois

- Illinois Department on Aging
- Illinois Department of Human Services
- Illinois Department of Rehabilitative Services